UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,180	12/31/2003	Vibhu Mittal	GOOGP018	4999

23689   7590   01/09/2008

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EXAMINER
HUYNH, THU V

ART UNIT	PAPER NUMBER
2178	

MAIL DATE	DELIVERY MODE
01/09/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
Office Action Summary	10/750,180	MITTAL, VIBHU
	Examiner	Art Unit	
	Thu V. Huynh	2178	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on <u>15 October 2007</u>.

2a)☐ This action is **FINAL**.   2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) <u>1-28</u> is/are pending in the application.

    4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) <u>1-28</u> is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on _____ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

    a)☐ All   b)☐ Some * c)☐ None of:

      1.☐ Certified copies of the priority documents have been received.

      2.☐ Certified copies of the priority documents have been received in Application No. _____.

      3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
    Paper No(s)/Mail Date _____.

4) ☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____.

5) ☐ Notice of Informal Patent Application

6) ☐ Other: _____.

DETAILED ACTION

1.   This action is responsive to communications: RCE filed on 10/15/07 to application filed

on 12/31/03.

2.   Claims 1, 4, 8, 11, 13, 20, 22 are currently amended.

3.   Claims 1-28 are pending in the case. Claims 1, 11 and 20 are independent claims.

Claim Rejections - 35 USC § 101

4.   35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or
any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and
requirements of this title.

5.   Claims 11-19 are rejected under 35 U.S.C. 101 because the claimed invention is

directed to non-statutory subject matter.

Regarding claims 11-19, these claims are for a system. However, the system comprising just

software: text reference locator, label locator, document identifier, anchor text determining

engine, hyperlink generator. Therefore, claim 19 is software per se and non-statutory subject

mater.

Claim Rejections - 35 USC § 103

6.   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in
section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are
such that the subject matter as a whole would have been obvious at the time the invention was made to a person
having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the
manner in which the invention was made.

7.   **Claims 1-5, 8-14, 17-23 and 26-28 remain rejected under 35 U.S.C. 103(a) as being**

Unpatentable over <u>Goodisman</u> et al., US 2002/0069223 A1, published 06/06/02 in view of

<u>Corbin</u>, US 6,295,542 B1, filed 10/2/1998.

Regarding independent claim 1, Goodisman teaches the steps of:

- locating a text reference in a source document (Goodisman, [0052], [0053], parsing a

  document into text blocks, wherein a text block includes one or more object);

- locating a label corresponding to the text reference (Goodisman, fig.6; [0052], [0053],

  [0056]; locating/establishing a label, such as name "JohnSmith", as an object for the

  text block);

- identifying a target document relating to the text reference (Goodisman, [0039],

  [0052], [0053], [0059]; identifying a target document related to the text block that

  includes the object);

- deriving an anchor text corresponding to the target document utilizing the source

  document and the label (Goodisman, fig.6; [0053], [0056]; obtaining and modifying

  the label to a highlighted/underlined hyperlink, such as highlighted/underlined name

  "JohnSmith" hyperlink in the document; linking the highlighted/underlined text

  reference to the target document when the hyperlink is activated/selected);

- generating a hyperlink to the target document (Goodisman, [0053], [0056], [0059];

  selecting/clicking the object causing retrieving and displaying the target document);

  and

- associated the hyperlink with the anchor text (Goodisman, [0053], [0056];

  automatically associating the hyperlink with the name "JohnSmith" by linkify engine

so that selecting/clicking the name "JohnSmith" causing retrieving and displaying the target document).

Goodisman does not teach the label being different from the text reference and is representation of the text reference.

Corbin teaches locating a label in the source document, the label being different from the text reference and is representation of the text reference (Corbin, col.2, lines 29-39; col.2, line 58 - col.3, line16; converting a footnote label that representation of a text reference to hyperlink).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have combined Corbin's teaching and Goodisman's teaching to include footnote label, since the combination would have provided hyperlink from the text reference as well as from the footnote that represents the text reference.

Regarding claim 2, which is dependent on claim 1, Goodisman teaches deriving the text reference based on a statistical model of at least one of the text formatting and lexical cues (Goodisman, [0053]; parsing the document based on the type of the input document).

Regarding claim 3, which is dependent on claim 1, Goodisman teaches comparing text from the source document with a list of predetermined references (Goodisman, [0053]; pattern matcher includes "linguistic, keyword proximity and word sequence analysis" to identify a name).

Regarding claim 4, which is dependent on claim 1, refer to claim 1, the combination of Corbin and Goodisman teaches associating the hyperlink with the label, the label being one of a footnote, an endnote, an entry in a bibliography, and an entry in a listing of cited reference. The rationale is incorporated herein.

Regarding claim 5, which is dependent on claim 4, Goodisman teaches deriving the label based on a statistical model of at least one of text formatting and lexical cues (Goodisman, [0053]; obtaining the label, such as name, phone number, social security number based on "linguistic, keyword proximity and word sequence analysis").

Regarding claim 8, which is dependent on claim 1, Goodisman teaches parsing the text reference into a plurality pieces of text, wherein the identifying, deriving, generating, and associating are performed for each of the plurality pieces of text (Goodisman; fig.6; [0024],[0053]; wherein the text block is a sentence that has two objects so that two hyperlinks are generated as in fig.6).

Regarding claim 9, which is dependent on claim 1, Goodisman teaches wherein the source document is selected from the group consisting of an HTML document, a text document, a postscript document, a Portable Document Format (PDF) document, a PowerPoint document, a Word document, and Excel document and a close-captioned video (Goodisman, [0030],[0050]).

Regarding claim 10, which is dependent on claim 1, the text reference is reference to one of a paper, article, company, institution, product, search engine, image, object, and geographical location (Goodisman; [0053]; the text block includes an object)

Claims 11-14 and 17-19 are for a computer system performing the method of claims 1-5, 8-10, respectively and are rejected under the same rationale.

Claims 20-23 and 26-28 are for a computer readable medium including instructions performing the method of claims 1-5, 8-10, respectively and are rejected under the same rationale.

8.   **Claims 6, 15 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over <u>Goodisman and Corbin</u> as applied to claim 4 above and further in view of <u>Glover</u> et al., US 2003/0221163 A1, filed 02/03.**

Regarding claim 6, which is dependent on claim 4, Goodisman does not explicitly teach deriving a label anchor text depending on whether the label corresponding to the text reference precedes or follows a text phrase.

Glover teaches deriving a label anchor text depending on whether the label corresponding to the text reference precedes or follows a text phrase (Glover, figures 4; [0034]; extended anchortext (410, 414, 418) are extracted including text references before, after or before and after label "<u>Yahoo</u>").

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have combined Glover's teaching and Goodisman's teaching to extract text before, after or surround the label, since the combination would have provided label anchor text including the label and text surround the label to link to a target document.

Claim 15 is for a computer system performing the method of claim 6 is rejected under the same rationale.

Claim 24 is for a computer readable medium including instructions performing the method of claim 6 is rejected under the same rationale.

9.   **Claims 7, 16 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over <u>Goodisman, Corbin and Glover</u> as applied to claim 6 above and further in view of <u>Hennings</u> et al., US 6,763,496 B1, filed 03/31/99.**

Regarding claim 7, which is dependent on claim 6, Goodisman does not explicitly teaches the label anchor text is a longest noun phrase extracted from the text phrase following or preceding the label when the label precedes or follows the phrase, respectively.

Hennings teaches anchor text link comprising a phrase, a picture icon, or a phrase and an icon (Hennings, col.2, lines 54-65).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have combined Hennings' teaching into Goodisman and Glover's teaching to extract a phrase before, after the label, since the combination would have provided

label anchor text including a phrase before or after the label; or combination of a phrase before

or after the label and an the label (object such as icon, image, trademark, identifier).

Claim 16 is for a computer system performing the method of claim 6 is rejected under

the same rationale.

Claim 25 is for a computer readable medium including instructions performing the

method of claim 6 is rejected under the same rationale.

Response to Arguments

10. Applicant's arguments with respect to claims 1-5, 8-14, 17-23 and 26-28 have been

considered but are moot in view of the new ground(s) of rejection.

Applicants ague with respect to claims 1-5, 8-14, 17-23 and 26-28 that independent

claims 1, 11 and 20 recites "locating a label in the source document, the label is different from

the text reference and is a representation of the text reference" and "Goodisman only discloses

the direct linking of the descriptive text reference to the target object" (Remarks, page 7).

However, the combination of Corbin and Goodisman teaches such limitation as explained

in the rejection above.

Conclusion

11. ᐟ *The prior art made of record and not relied upon is considered pertinent to applicant's*

disclosure.

Berque, US 7,003,728 B2, filed 07/3/01, teaches footnote objects comprise hyperlinks that cause corresponding annotation to be displayed when activated.

Nielsen, US 6,199,071 B1, filed 04/97, teaches method for archiving hypertext documents.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thu V. Huynh whose telephone number is (571) 272-4126. The examiner can normally be reached on Monday to Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen S. Hong can be reached on (571) 272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Thu V. Huynh
January 5, 2008